

Neutral Disclosure & Supplemental Disclosure

Complete the disclosure for the specific appointment and circulate the required information to all parties. This structured form supports disclosure; it does not replace the complete statutory or ethical requirements.

1. Appointment and disclosure timing

Matter / case number / proposed neutral

Role: mediator or contractual arbitrator / initial or supplemental disclosure

Nomination or appointment notice date / date new information became known / disclosure date

All parties and counsel receiving this disclosure / service details

For a California contractual arbitration subject to Code of Civil Procedure section 1281.9 and Judicial Council Standard 7, initial written disclosure is due within 10 calendar days after service of notice of the proposed nomination or appointment. Where Standard 7 applies, a newly discovered disclosable matter must be disclosed to all parties in writing within 10 calendar days after the arbitrator becomes aware of it. Mediation disclosures depend on applicable law, any court program, and the engagement's ethical standards; arbitration periods do not automatically govern every private mediator.

2. Reasonable inquiry

Party, affiliate, attorney, witness, insurer, and financial interest information reviewed

Inquiry performed, records checked, provider inquiries, and incomplete information requested

3. Relationship and interest review

Address every relevant category below. Identify the relationship or matter, relevant persons, dates, scope, compensation or financial interest where required, and any necessary case details. Use numbered attachments; a broad statement of impartiality does not substitute for disclosure of actual information.

A. Personal, professional, and family relationships; financial, ownership, business, and other interests; grounds that could cause a reasonable person to doubt impartiality; and any disqualification matters incorporated through applicable law.

Category A disclosures / identify none only after reasonable inquiry

B. Prior or current arbitrations and other neutral assignments involving a party, counsel, or relevant associate; required prior case details and results; attorney client relationships where relevant; employment, professional service, and arrangements for future work.

Category B disclosures with dates, roles, parties, counsel, and required case information

C. Provider organization relationships and financial or business interests; relevant provider solicitations; any applicable consumer disclosures and future service arrangements under Judicial Council Standards 8 and 12; and other mandatory information. For covered consumer matters, include provider solicitations made after January 1, 2025 and within the applicable two year period as required by Code of Civil Procedure section 1281.9(a)(7), subject to its exceptions.

A disclosure does not necessarily establish actual bias, but a timely notice of disqualification under Code of Civil Procedure section 1281.91 can require disqualification without proof of actual bias. Parties retain all applicable grounds, deadlines, and procedures, including the statutory period generally allowing notice within 15 calendar days after service of the disclosure statement. No acknowledgment in this form waives those rights, and a neutral who cannot remain impartial must refuse or discontinue service.

Attachments, referenced schedules, and changes from any prior disclosure

Neutral / signature / date

Delivery method / date / recipient list

Authorities and scope

California Code of Civil Procedure § 1281.9

Judicial Council Arbitrator Ethics Standard 6

Judicial Council Arbitrator Ethics Standard 8

Judicial Council Arbitrator Ethics Standard 10

California Rule of Court 3.855

California Code of Civil Procedure § 1281.91

Judicial Council Arbitrator Ethics Standard 7

Judicial Council Arbitrator Ethics Standard 9

Judicial Council Arbitrator Ethics Standard 12